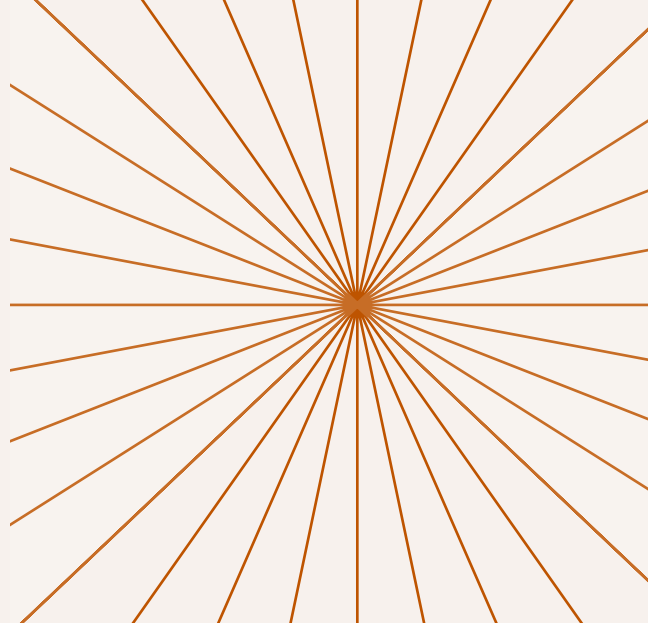


# Scale Bigger, Serve Deeper

Elevate your offering with  
LPL Outsourced Chief Investment  
Officer Services



# You Built It, Now Let's Scale It

Your investment approach got you here, but today's upmarket clients demand more. That's why top-tier advisors partner with LPL Outsourced Chief Investment Officer (OCIO) Services. We take on the heavy lifting for you, so you can deepen relationships, grow AUM, and deliver sophisticated strategies for your clients.



**Time:** Shift day-to-day investment oversight to a team, get access to institutional-grade tools and tech like FactSet and Bloomberg.



**Customization:** Choose the level of involvement that's right for your business. Cookie cutter doesn't cut it with us or your clients.



**Control:** An extension of your business, we work with you to help you move forward. You remain the face of the strategy – we simply power it.



**Support:** With an extensive team from LPL Research behind you, feel like you have the bandwidth of a larger firm – investment research, custom model design, point-of-sale support, white label communications, and more.



91%

of advisors who  
utilize an OCIO  
partner report  
asset growth<sup>1</sup>

1. AssetMark, The Impact of Outsourcing, 2022



# Built Around Your Business, Focused on Client Outcomes

We'll be with you every step of the way – from helping you solidify your investment philosophy and creating the proper documentation to supporting custom model portfolio development, and more.

## What We Do

- Beyond the off-the-shelf support others offer, we offer customization, service and industry experience.

## Governance and Guidance

- Collaboration to establish overall investment objectives 100% tailored to your philosophy, risk preferences, and client base to help keep you in regulatory compliance.

## Investment Policy Development

- Documentation that formalizes your philosophy and investment process.

## Model Portfolios

- Model portfolios with tilts within asset classes in response to valuation opportunities.
- Continuous manager selection, monitoring, and model optimization.

## LPL Research Access

- Direct line to over 400 years of investing experience through your dedicated OCIO team.

## Stakeholder Communication

- Attendance at prospect and client meetings and events.
- White label communications, designed to keep clients informed.

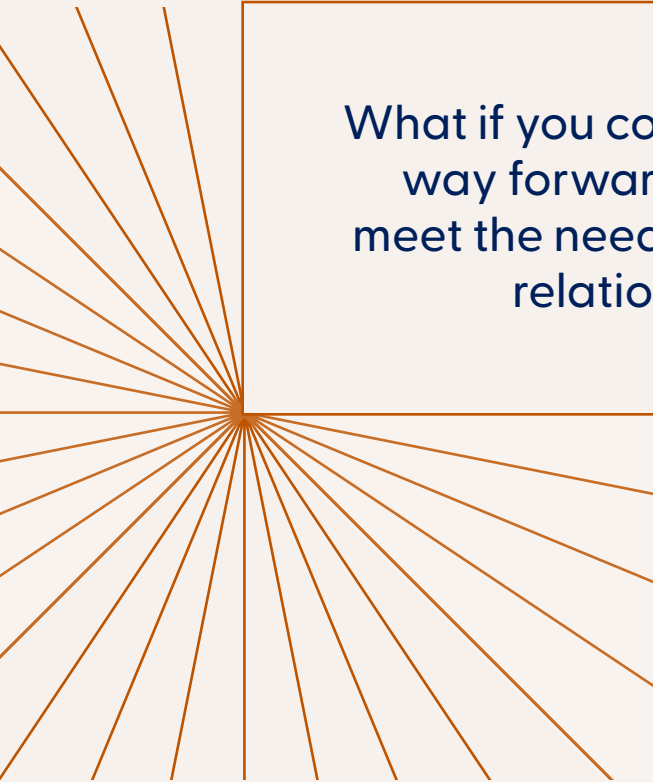


“  
**The OCIO team  
helped us stand  
out in competitive  
pitches. We’re  
growing faster  
and I’m spending  
more time with  
clients instead of  
spreadsheets.**

– Managing Partner,  
\$300M RIA

”

**Get Started Today**  
Contact your LPL Relationship Manager  
or email [cioservices@lplfinancial.com](mailto:cioservices@lplfinancial.com)



What if you could build a more efficient way forward – one that helps you meet the needs of your clients, deepen relationships, and grow.

The views and opinions expressed by the Financial Advisor(s) may not be representative of the views of other Financial Advisors and are not indicative of future performance or success.

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